

Aberdeen Sports Village - Appendix E

	Assurance Request	ALEO Response	Risk Rating
Governance	General Data Protection Regulation (GDPR)	ASV have employed a part-time Data Protection Officer and have an approved Data Protection Policy in place. Other procedures in place include; Subject Access Handling Procedure, Data Breach Reporting Procedure, DPIA Template Documents and a Data Sharing Agreement Template Document. GDPR is a standard item on the Board Agenda with next report due in December 2019.	Very Low
	Zero Hours Contracts/Scottish Living Wage	ASV will introduce the Scottish Living Wage (SLW) with effect from its contract year 01 August 2020 to 31 July 2021.	Very Low
	Composition and Capacity of the Board	The Business Plan shall be updated at the first P&O meeting in 2020 for presentation and approval at the February Board meeting. AHP shall forward a copy to ACC on board approval.	Low
	Induction and Training Programme for Board Members	ASV Board member induction pack was provided and assessed as providing adequate assurance.	Very Low
Risk	Risk Register	No updates to risk register. The Hub will review the risk register updates presented to the Board in December at the next reporting cycle.	Low
	Risk Register (EU-Exit)	EU-Exit preparedness is identified on the risk register and is being monitored. Updates on EU-Exit will be requested in the next reporting cycle.	Low
	Internal Audit	Quest internal audit was conducted on Tuesday 5th November 2019. Audit improvement plan will be presented to the ASV Board in December 2019 and will be reviewed in the next reporting cycle.	Low
	External Audit	External audit is currently underway by Johnson and Carimichael. Approved audited accounts and supporting report will be provided to the ASV Board in December 2019 and reviewed in the next reporting cycle.	Low
Finance	Financial Regulations	The current financial regulations cover all aspects of ASV's financial governance including Financial Control (Audit, Budgets, Accounting Policies, Accounting Records), Income & Banking, Expenditure, Salaries, Assets and Inventories, Risk Management, Insurance, Taxation, Security and include within its appendices Purchasing Policy and Tender Procedures, Expenses and Benefits Policy and Inventories and Disposal of Assets. They have been subject to a provisional review by the new Director of Finance and are considered adequate and fit for purpose. Not specifically, although a number of areas within the Financial Regulations will be subject to audit e.g. accounting policies, accounting records, income and banking, authority levels etc.	Medium